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DRAFTED BY: SCI/SA: W. JENKINS

APPROVED BY: EUR: G.S. SPRINGSTEEN

CSCE WORKING GROUP IN SCIENCE AND TECHNOLOGY

EUR/RPM: MR. MSTREATOR EUR/RPM: MR. DOLAN

EUR/SES: MR. POLANSKY COMM: MR. NICHOLS

SCI: MR. SIEVERING SCI/SA: MR. SOHNS

EB/TP/EWT: MR. TARTTER CU/EE: MR. CORRIDEN

DOD/ISA: CAPT. ROBERTSON NSC: MR. HYLAND

IO/ST: MR. TREVITHICK S/S: MR. GAMMON

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C O N F I D E N T I A L STATE 175847

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TAGS: ETRD, HX, NATO

SUBJECT: CSCE - US RECOMMENDATIONS ON SCIENTIFIC AND
TECHNOLOGICAL COOPERATION

REF: (A) CHAPTER 2, POINT II, 3 OF FINAL RECOMMENDATIONS
OF THE HELSINKI CONFERENCE

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(B) NATO DOCUMENT C-M(72)24, ANNEX 7

(C) NATO DOCUMENT C-M(73)17

(D) USNATO 3558

FOLLOWING IS TEXT OF SCIENCE AND TECHNOLOGY PAPER REQUEST-
ED REF D PARA 2. (ECONOMIC REPORT REQUESTED REF D, PARA
4, INCLUDED IN SEPTTEL.)

1. BEGIN TEXT: PARAGRAPHS 37 AND 38 OF THE FINAL RECOM-
MENDATIONS OF THE HELSINKI CONSULTATIONS (REF A) PROVIDE
GUIDELINES FOR NEGOTIATING IN PHASE II OF THE CSCE THE CON-
DITIONS FOR COOPERATION IN SCIENCE AND TECHNOLOGY.

2. THE GOVERNMENT OF THE UNITED STATES OF AMERICA CONSI-
DERS THAT THIS MANDATE PROVIDES ADEQUATELY FOR THE REALIZA-
TION OF WESTERN OBJECTIVES DEFINED DURING NATO CONSULTA-
TION OVER THE PAST YEAR AND A HALF. SUBSTANTIAL AGREEMENT
WAS REACHED ON THESE OBJECTIVES AT A MEETING OF EXPERTS
IN BRUSSELS ON JANUARY 17, 1973. AS REFLECTED IN REF C,
REFERENCE WAS MADE AT THE EXPERTS MEETING TO A SHARING
OF BILATERAL EXPERIENCE IN S AND T COOPERATION WITH
WARSAW PACT COUNTRIES; PROVISION WAS MADE FOR REMOVAL OF
OBSTACLES TO SCIENTIFIC AND TECHNOLOGICAL (S AND T) COOP-
ERATION AND FOR A LINKAGE WITH PROGRESS IN CSCE ON "FREER
MOVEMENT AND CONTACTS" (POINT III, 2); REFERENCE WAS MADE
TO COOPERATION BETWEEN INDUSTRIAL ENTERPRISES (IMPLYING A
LINKAGE TO PARAGRAPHS 33-36 OF THE FINAL RECOMMENDATIONS);
POSSIBLE POST-CSCE USE OF EXISTING INTERNATIONAL MECHAN-
ISMS WAS CONSIDERED; AND VIEWS WERE REFINED ON S AND T
TOPICS WHICH MIGHT BE DISCUSSED IN AN EAST-WEST (CSCE)
CONTEXT.

3. CONSULTATION AMONG NATO COUNTRIES WILL UNDOUBTEDLY
CONTINUE DURING STAGE II DISCUSSIONS IN GENEVA. THERE IS
A NEED, HOWEVER, TO CLARIFY FURTHER CERTAIN WESTERN POSI-
TIONS AND TO CONSIDER SOME PROPOSALS WHICH HAVE BEEN MADE
BY INDIVIDUAL NATO COUNTRIES. WITH RESPECT TO THESE
MATTERS THE U.S. GOVERNMENT OFFERS THE FOLLOWING COMMENT
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AND SUGGESTIONS.

4. SHARING OF BILATERAL EXPERIENCE - SINCE THE NATO
CONSULTATIVE PROCESS ON CSCE BEGAN OVER A YEAR AGO THE
UNITED STATES HAS CONCLUDED WITH THE USSR A GENERAL
AGREEMENT ON SCIENTIFIC AND TECHNOLOGICAL COOPERATION
AND SEVEN INTERGOVERNMENTAL AGREEMENTS FOR COOPERATION
IN SPACE, HEALTH, ENVIRONMENT, ATOMIC ENERGY, TRANSPORTA-

TION, OCEANS AND AGRICULTURE. NEGOTIATION OF THESE AGREEMENTS AND SUBSEQUENT EFFORTS TO IMPLEMENT THEM LEAD US TO BELIEVE THAT THE USSR IS MORE PREPARED FOR COOPERATION THAN IT WAS THREE YEARS AGO BUT ALSO THAT, DESPITE LIMITED ACCOMPLISHMENTS IN COOPERATION, WE ARE STILL LARGELY IN AN EXPLORATORY "EXCHANGES" PHASE IN OUR RELATIONSHIP WITH THE SOVIETS. THIS EXPLORATORY PHASE EXISTS ALSO IN ROMANIA, HUNGARY, CZECHOSLOVAKIA AND BULGARIA. IN CONTRAST, WE ARE FAIRLY DEEPLY INVOLVED WITH YUGOSLAVIA AND POLAND, WHERE WE HAVE EXTENSIVE COOPERATIVE ARRANGEMENTS UTILIZING THE "EXCESS" CURRENCIES WE POSSESS IN THOSE COUNTRIES.

5. OUR EXPLORATION HAS CONFIRMED THAT WE STILL HAVE SOME DISTANCE TO GO WITH THE USSR AND CERTAIN EAST EUROPEAN COUNTRIES WITH RESPECT TO CONTACTS WITH WORKING SPECIALISTS AND IN WORKING OUT MUTUALLY BENEFICIAL AREAS OF COOPERATION. THIS IS WELL ILLUSTRATED IN THE RECENTLY ESTABLISHED INTERNATIONAL INSTITUTE OF APPLIED SYSTEMS ANALYSIS IN VIENNA, IN WHICH REPRESENTATIVES OF SOME OF OUR NATO PARTNERS ARE ALSO INVOLVED. WHILE THE USSR IS VERY ADEPT IN SEEKING TO TAKE ON POLICY AND SECRETARIAT FUNCTIONS IN THE INSTITUTE, IT IS LESS EAGER AND SUCCESSFUL IN CONTRIBUTING SUBSTANTIVE PARTICIPATION OF THEIR SCIENTISTS. WHILE THEIR REPRESENTATIVES WITH SOME JUSTIFICATION EXPLAIN THIS AS BEING THE RESULT OF A DEARTH OF SPECIALISTS WITH INTERDISCIPLINARY SKILLS, IT ALSO CLEARLY RESULTS FROM EXCESSIVE CAUTION REGARDING FREEDOM OF MOVEMENT AND CONTACT -- EVEN AMONG THEIR SCIENTISTS AT HOME.

6. OUR EXPERIENCE TO DATE, THEREFORE, LEAVES US MILDLY ENCOURAGED, BUT AS MUCH AS EVER CONVINCED THAT ATTENTION

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MUST BE GIVEN TO LINKAGES OF CSCE DELIBERATIONS IN THE S AND T FIELD WITH THOSE IN FREEDOM OF CONTACT AND WITH INDUSTRIAL COOPERATION, IN WHICH THE WARSAW PACT COUNTRIES ARE GREATLY INTERESTED. IT ALSO REINFORCES OUR CONVICTION THAT IN ANY SEARCH FOR POST-CSCE INSTITUTIONS FOR COOPERATION IN SCIENCE AND TECHNOLOGY, COUNTRIES OF THE WEST MUST SEEK MEASURES WHICH EMPHASIZE THE FREEDOM OF ASSOCIATION THEME.

7. RELATIONSHIP TO INDUSTRIAL COOPERATION - U.S. EXPERIENCE TO DATE ALSO REVEALS THAT THE SOVIETS PRESS FOR COOPERATION IN APPLIED SCIENCE AND TECHNOLOGY SIMULTANEOUSLY IN THE GOVERNMENTAL AND PRIVATE INDUSTRIAL SPHERES. THIS RAISES THE PROBLEM OF COORDINATION AND TOUCHES ON A SERIES OF PROBLEMS WITH RESPECT TO THE SAFEGUARDING OF

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